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PTO/SB/21 (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0901/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/978,113
			Filing Date	October 15, 2001
			First Named Inventor	Eng-Whatt Toh
			Group Art Unit Number	2131
			Examiner Name	Not Yet Known
Total Number of Pages in This Submission		**6	Attorney Docket Number	20735-05502

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ENCLOSURES (check all that apply)	
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REMARKS: ** "Total Number of Pages in this Submission" does not include cited references.

SIGNATURE OF ATTORNEY OR AGENT			
Signature:	<u>Bradley D. Baugh</u>		
Attorney/Reg. No.:	Bradley D. Baugh, Reg. No. 50,368	Dated:	6 June 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Bradley D. Baugh</u>		
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Eng-Whatt Toh, Mark E. Kitson, Kok-Hoon Teo, Chee-Hong Wong, and See-Wai Yip

APPLICATION NO.: 09/978,113

FILING DATE: October 15, 2001

TITLE: Cryptographically Secure Network

EXAMINER: Unknown

GROUP ART UNIT: 2131

ATTY. DKT. NO.: 20735-05502

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ENG-WHATT TOH, ET AL.

Dated: 6 June 2002

By: Bradley D. Baugh
Bradley D. Baugh, Reg. No.: 50,308
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7644
Fax.: (650) 494-1417



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FORM PTO-1449
(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No.: 20735-05502
Serial No.: 09/978,113

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant: Eng-Whatt Toh, et al.

Filing Date: October 15, 2001

Group Art Unit: 2131

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	4,625,076	11/25/86	Okamoto et al.	178	22.11	
	B	5,005,200	04/02/91	Fischer	380	30	
	C	5,018,196	05/21/91	Takaragi et al.	380	30	
	D	5,157,726	10/20/92	Merkle et al.	380	23	
	E	5,388,158	02/07/95	Berson	380	23	
	F	5,432,852	07/11/95	Leighton et al.	380	30	
	G	5,557,346	9/17/96	Lipner et al.	380	21	
	H	5,623,653	04/22/97	Matsuno et al.	395	609	
	I	5,689,567	11/18/97	Miyauchi	380	25	
	J	5,706,452	01/06/98	Ivanov	395	331	
	K	5,734,651	03/31/98	Blakeley et al.	370	392	
	L	5,767,847	06/16/98	Mori et al.	345	329	
	M	5,825,865	10/20/98	Oberlander et al.	395	600	
	N	5,848,248	12/8/98	Kawasaki et al.	395	200.68	
	O	5,872,848	02/16/99	Romney et al.	380	25	
	P	5,878,398	03/02/99	Tokuda et al.	705	8	
	Q	5,898,156	04/27/99	Wilfong	235	380	
	R	5,912,974	06/15/99	Holloway et al.	380	51	
	S	5,915,024	06/22/99	Kitaori et al.	380	25	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
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Attorney's Docket No.

20735-05502

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Eng-Whatt Toh, et al.

Filing Date

October 15, 2001

Group Art Unit

2131

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	T	5,948,103	09/07/99	Fukuzaki	713	200	
	U	6,038,541	03/14/00	Tokuda et al.	705	8	
	V	6,081,610	06/27/00	Dwork et al.	382	119	
	W	6,085,322	07/04/00	Romney et al.	713	176	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	EP0840478A2	06.05.98	EPO	H04L	9/32	N/A	
	Y	EP0915436A1	12.05.98	EPO	G07D	9/00	N/A	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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